#12/5-20-03



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles B. Greenberg et al.

Serial No. 10/075,316

Filed: February 14, 2002

For: PHOTOCATALYTICALLY-ACTIVATED

SELF-CLEANING ARTICLE AND METHOD OF MAKING SAME

PATENT APPLICAT

Group Art Unit:

Examiner: S. Vincent

Atty Docket No. 1240D2/RC

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents Alexandria, VA 22313-1450

Sir:

The documents listed on the attached Form PTO-1449 are brought to the attention of the United States Patent and Trademark Office with respect to the application identified above in accordance with the provisions of 37 CFR 1.56, 1.97, and 1.98. Copies of the documents listed are enclosed herewith.

In addition, counsel for Pilkington P.L.C. has notified Applicants' counsel that Pilkington P.L.C. has copied the claims of PPG's U.S. Patent No. 6,413,581 Bl, in an effort to provoke an interference. The instant application claims priority from U.S. Patent No. 6,413,581Bl. Applicants believe, but cannot confirm, that the application containing the copied claims is U.S. Application No. 09/662,181, which is believed to be related to U.S. Patent No. 6,238,738 Bl cited in the Supplemental Information Disclosure Statement filed October 17, 2002.

Respectfully submitted,

ANDREW C. SIMINERIO Registration No. 30,803

Attorney of Record

(412) 434-4645

Pittsburgh, Pennsylvania May 9, 2003

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MAY 0 9 2003	Atty. Docket No. 1240D2/RC	Serial No. 10/075,3			
LIST OF CITATIONS BY APPLICANT (Use several sheets if necessary)	Applicant Charles B. Greenberg et al.				
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Exam. Init.		Document No.	Date	Name	Class	Subclass	Filing Date if appropriate
	AA	3,630,796	12/71	Yokozawa et al.			
,	AB	4,017,661	4/77	Gillery			
	AC	4,238,276	12/80	Kinugawa et al.			
	AD	4,485,146	11/84	Mizuhashi et al.			
	AE	4,544,470	10/85	Hetrick			
	AF	4,888,038	12/89	Herrington et al.			
	AG	4,892,712	1/90	Robertson et al.			
	AH	5,028,568	7/91	Anderson et al.			
	Al	5,032,241	7/91	Robertson et al.			
	AJ	5,035,784	6/91	Anderson et al.			
	AK	5,304,394	4/94	Sauvinet et al.			

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AM	7-99425	3/95	Japan			X	
 AN	7-117600	4/95	Japan			X	
AO	7-182019	6/95	Japan			X	
 AP	7-182020	6/95	Japan			X	
 AQ	7-205019	7/95	Japan			X	

AR	D. R. Uhlmann, "Glass: Science and Technology", Vol. 2, Processing I, pages 253-284 (1984)
AS	GlassFAQs, Glass FACTS.com, pg 1-5
AT	JP 88-158890, Abstract Only, Derwent JP 860243762, "Glass Article Difficult Soil Coating Thin Titanium DI Oxide Coating Contain Platinum@Rhodium Palladium@.

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	Applicant Charles B. Greenberg et a	
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	AA	5,308,805	5/94	Baker et al.			
	AB	5,342,676	8/94	Zagdoun			
	AC	5,368,892	11/94	Berquier			
	AD	5,389,427	2/95	Berquier			0 5 0
	AE	5,478,783	12/95	Higby et al.			m & C
	AF	5,525,406	6/96	Goodman et al.			1 - 0
	AG	5,854,169	12/98	Heller et al.			OZE
	AH	5,618,579	4/97	Boire et al.			
	Al	5,698,262	12/97	Soubeyrand et al.			
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 AM	96/13327	5/96	WIPO (6,387,844)				X
AN	96/29375	9/96	WIPO (English abstract)				X
AO	9-173783	7/97	Japan (English abstract)				X
 AP	9-227157	9/97	Japan (English abstract)				X
 AQ	9-227158	9/97	Japan (English abstract)				X

AR	Chemical Abstracts, 116 89812a, Sokolov, et al. "Selective Activation of Smooth Surfaces of
	Glass and Ceramic Articles Before Chemical Metalization," Abstract only
AS	K. Hashimoto et al., TiO ₂ Coated Glass with Self-Cleaning Function, Kagaku To Kogyou
	(Chemistry and Industry), vol. 48, No. 10, p. 1256-1258 (1995)
AT	04/03/00 Letter From Mrs. Colette Ward, Patent Litigation Enquiries, British Library

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		Sheet <u>3</u> of <u>6</u>
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LIST OF CITATIONS BY APPLICANT	Applicant	
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Exam. Init.		Document No.	Date	Name	Class	Subclass	Filing Date if appropriate
	AA	5,749,931	5/98	Goodman et al.			
	AB	5,751,484	5/98	Goodman et al.			0
	AC	5,755,845	5/98	Woodward et al.			W & P
•	AD	5,764,415	6/98	Nelson et al.			7 4 5
	AE	5,773,086	6/98	McCurdy et al.			O Z
	AF	5,939,201	8/99	Boire et al.			W Z
	AG	5,961,843	10/99	Hayakawa et al.			
	AH	6,001,462	12/99	Purvis et al.			
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AL	9-235140	9/97	Japan (English abstract)				X
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AN	2 320 499	6/98	Great Britain				
AO	2 320 503	6/98	Great Britain	•			
AP	2 324 098	10/98	Great Britain				
 AQ	9-241037	2/99	Japan (English abstract)				X

AR	Robert J. Good et al. The Modern Theory of Contact Angles and the Hydrogen Bond Components of Surface Energies in Modern Approaches to Wetability, Theory and
	Applications, pp 1-3, Schrader et al. Eds.
AS	H. H. Dunken, Glass Surfaces, Treatise on Materials Science and Technology, Vo. 22, pp. 1-75, 1982
AT	The Japan Times, May 21, 2002, Tuesday, Race for Technology, pp. 2-5, from LexisNexis

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		Sheet <u>4</u> of <u>6</u>
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Exam. Init.		Document No.	Date	Name	Class	Subclass	Filing Date if appropriate
	AA	6,106,955	8/00	Ogawa et₀al.			
	AB	6,210,779	4/01	Watanabe et al.			
	AC	6,228,480	5/01	Kimura et al.			
	AD	6,322,881	11/01	Boire et al.			0 = 0
	AE	6,326,079	12/01	Philippe et al.			
	AF	6,387,844	5/02	Fujishima et al.			
	AG	5,165,972	11/92	Porter			N A C
	AH	4,898,789	2/90	Finley			a s
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AF	Yusumori et al., Preparation of TiO ₂ Fine Particles Supported on Silica Gel as Photocatalyst, Journal of the Ceramic Society of Japan, 102 (1994) pp. 702-707	
AS	Wold; Photocatalytic Properties of TiO ₂ , Chem. Mater., 1993, 5, pp. 280-283	-
Α٦	Bach et al.; Kristallstruktur und Optische Eigenshaften von Dunnen Organogenen Titanoxyd- Schichten Auf Glasunterlagen [Crystal Structure and Optical Properties of Thin Organogenic Titanium Oxide Layers on Glass Substrates], Thin Solid Films 1 (1967/68), pp. 255-276,	_

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	Filing Date February 14, 2002	Group 1731

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 AM	0 633 064	1/95	EP				
AN	0 675 086	10/95	EP				
AO	0 489 621	6/92	EP .				
AP	95/15816	6/95	WIPO				
 AQ	7-3463	1/95	Japan (English abstract)				X

AR	Meng et al.; The Effect of Substrate Temperature on the Properties of Sputtered Titanium Oxide Films, Applied Surface Science 65/66 (1993) pp. 235-239
AS	
AT	The state of the s

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	AF						
	AG						W X
	AH						
	AI						
	AJ						
	AK						

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 						Translation	
	Document No.	Date	Country	Class	Subclass	Yes	No.
AL	7-2548	1/95	Japan (English abstract)				X
 AM	11-512337	10/99	Japan (English abstract)				X
AN	9-173775	7/97	Japan (English abstract)				X
 AO	10-146530	6/98	Japan (English abstract)				X
AP							
 AQ							

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